CUSTOMER INFORMATION & ACCOUNT OPENING FORM

(For Sole Proprietorship Firm)

RBL BANK
apno ka bank

(Please fill the form in BLOCK LETTERS and SAME INK only. THIS IS A MACHINE READABLE FORM AND WILL PASS THROUGH A SCANNER).

Tick ☑ boxes as applicable. *Mandatory Fields

Application Date D D M M Y Y Y Y CKYC Number Entity Customer ID (For Existing Customer)

Branch Code Open My/Our Account at your (Branch Name) branch. Case Type ☐ R-KIT ☐ Non R-KIT

Existing CIF ID : Update ☐ I/We wish to update our existing CIF as per the details provided in this Customer Information Form

1. *ENTITY DETAILS

*Name

*Date of Incorporation D D M M Y Y Y Y *City of Incorporation *Country of Incorporation

*PAN GSTN No.

*Industry Type ☐ Manufacturing ☐ NBFC ☐ Construction ☐ Non Profit Organization ☐ Micro Enterprises ☐ Others (Please Specify)

If 'Others' fill the Industry code as per Bank's KYC policy

*Annual Turnover (Avg. income for corp.) ☐ 0-5 Lakh ☐ 5-10 Lakh ☐ 10-25 Lakh ☐ 25-50 Lakh ☐ 50 Lakh - 1 Cr ☐ 1 - 5 Cr ☐ 5 - 25 Cr ☐ 25 - 50 Cr ☐ 50 - 100 Cr ☐ > 100 Cr

Legal Entity Identifier Number Legal Entity Identifier Expiry Date D D M M Y Y Y Y

RERA Registration Number Entity website URL

2. *ADDRESS DETAILS

*REGISTERED OFFICE ADDRESS (Proof is mandatory)

☐ I/We hereby declare that there has been no change in my/our address information. I/We confirm that the details provided earlier remain unchanged and accurate. (For existing customers)

Shop/Office No. & Building Name/No.

Road/Street Name/No.

*Landmark *City

*State *Country *Pin Code

CONTACT DETAILS

*Country Code STD Code Phone No. Extension

*Mobile No. (For receiving SMS Alerts)

Email ID (E-statements & E-alerts)

Please consider opting for environmental friendly e-statements by furnishing your correct email ID, for physical statement visit nearest RBL branch in future.

PRINCIPAL PLACE OF BUSINESS (A. To be filled below if different from registered address. B. Proof is mandatory)

☐ I/We hereby declare that there has been no change in my/our address information. I/We confirm that the details provided earlier remain unchanged and accurate. (For existing customers)

Shop/Office No. & Building Name/No.

Road/Street Name/No.

*Landmark *City

*State *Country *Pin Code

PREFFERED MAILING ADDRESS ☐ Registered Office Address ☐ Principal Place of Business

3. *DETAILS OF AUTHORISED SIGNATORY/IES

	Name of Authorised Signatory/ies	Designation	Customer ID No. (If existing customer)
1.			
2.			

4. *NATURE OF ACCOUNT TO BE OPENED

☐ Current account scheme name (Scheme Name) *AMB/ AQB/ QTP ₹

*Average Monthly Balance/ Average Quarterly Balance/ Quarterly Throughput- for Savings & Current Account

5. MODE OF OPERATION

☐ Proprietor ☐ *Anyone (*In case of Mandate addition only)

5. *WHAT WOULD YOU NEED TO MAKE YOUR BANKING EASIER

SMS Banking ☐ Yes ☐ No **Optional SMS Alert** ☐ Yes ☐ No **Optional Email Alert** ☐ Yes ☐ No

Please note optional alert shall be sent only for amount greater than Rs. 5000 for Current Accounts. Optional alerts will be chargeable. Kindly refer schedule of charges for details. Kindly note, you will continue to receive mandatory alerts free of charge, irrespective of the transaction amount.

Preferred Language of Communication: ☐ English ☐ Hindi ☐ Marathi ☐ Assamese ☐ Bengali ☐ Gujarati ☐ Kannada ☐ Malayalam ☐ Oriya ☐ Punjabi ☐ Konkani ☐ Tamil ☐ Telugu ☐ Others:

Cheque Book ☐ Yes ☐ No

Debit Card ☐ Yes ☐ No **Card Variant:** ☐ Enterprise ☐ Insignia ☐ Signature + ☐ Pinnacle ☐ Crest ☐ Others

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6. *MODE OF FUNDING

Initial Amount ₹ | | | | | | | | | | | In words | | | | | | | | | | |

TERMS & CONDITIONS

- I/We undertake to notify RBL Bank as and when the credit facilities availed within the banking system reaches ₹ 10 crore or more.
- I/We are availing credit facilities with other banks / RBL Bank as per the details disclosed in Table A and confirm the accuracy of the details provided herein.
- In future, if I/We avail any credit facility from any other bank, I/we shall inform RBL Bank within seven (7) working days of availing such credit facilities with all the details as per Table A.
- I/We confirm that I/We have read, understood and agree to abide by the requirements of RBI Circular as maybe amended from time to time.
- I/We authorize RBL Bank to verify the exposure details from Central Repository of Information on Large Credits (CRILC), Credit Information Companies (CICs) and National E-Governance Services Limited (NeSL).
- I/We declare that the information furnished is true and correct. In case of any lapses in submitting the details, RBL Bank can close or block or suspend current account/ collection account, without any notice and RBL Bank shall not have any responsibility towards it.
- I/We have read, understood and agree to abide and be bound by all the provisions of the Terms & Conditions governing account and any other banking services as availed, including RTGS and NEFT service, and agree to service the payment of dues, charges, etc. as applicable from time to time, including those mentioned under the latest schedule of charges as published on RBL Bank's website www.rbl.bank.in
- I/We will provide the exposure details to RBL Bank at least on half yearly basis or as and when there is a change in the composition of the lending exposure whichever is earlier
- I/We will ensure below facilities will be stopped for the accounts which are opened/ converted to the Collection account.
  - Transaction access will be deactivated on Net banking (retail and CIB).
  - All the cards will be permanently blocked.
  - Cheque(s) issued if any will be stopped.
  - ECS, SI, Auto Sweep-out facility will be stopped.
  - Digital transaction like UPI, POS etc access will be stopped.
- I/We is/are aware that I/We need to reapply for necessary facility when Collection account is converted into regular Current account.

Name of Authorized Person/s	**Signature with seal of Authorized Person/s (to be signed as per Mode of Operation)**
1. _____	Signature
2. _____	Signature
3. _____	Signature
4. _____	Signature
5. _____	Signature

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8. *FATCA/CRS DECLARATION

☐ I/We hereby declare that there is no change in my/our FATCA/CRS information as previously provided to RBL Bank. The entity/individual continues to have the same tax residency status and related details as declared earlier. In case of any update in the KYC documents / information submitted by me/ us at the time of establishment of business relationship / account-based relationship and thereafter, as necessary; I / We shall submit to RBL Bank the updated documents within thirty (30) days of such update. (For existing customers)

FATCA-CRS DECLARATION FOR INDIVIDUAL ACCOUNTS (including Sole Proprietor)

Details under FATCA and CRS (see instructions)

(Please consult your professional tax advisor for further guidance on your tax residency, if required)

1.	Accountholder Name:	2.	Customer ID:
3.	Fathers Name:	4.	Spouse's Name (Optional):
5.	Gender:	6.	PAN:
7.	Aadhaar Number (If Available)	8.	Date of Birth:
9.	City of Birth:	10.	Country of Birth:
11.	Nationality:	12.	Occupation Type:
13.	Address of tax residence (including city, state, country and pin code):		
14.	Address Type: Permanent ☐		Current Residential Business ☐
15.	Identification Document & Number: (eg Passport, Election Id, etc.)		

8. *FATCA/CRS DECLARATION (*Contd..*)

Please tick the applicable tax resident declaration: (Any one)

- ☐ Individual is a tax resident of India and not tax resident of any other country OR
- ☐ Individual is a tax resident of the country/ies mentioned in the table below

Please indicate all the country/ies in which the entity is a resident for tax purposes and the associated Tax ID Number below:

Country	Tax Identification Number%	Identification Type (TIN or Other%, please specify)

To also include USA, where the individual is a citizen/ green card holder of USA

% In case Tax Identification Number is not available, kindly provide functional equivalent$

Certification

I/We have understood the information requirements of this Form (read along with the FATCA/CRS Instructions) and hereby confirm that the information provided by me/us on this Form is true, correct, and complete. I/We also confirm that I/We have read and understood the FATCA CRS Terms and Conditions below and hereby accept the same.

Name:

Date: D D M M Y Y Y Y Place:

Signature

Details under FATCA and CRS (see instructions)

The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities/ appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

Should there be any **change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.**

FATCA-CRS Instructions:

If you have any questions about your tax residency, please contact your tax advisor. If you are a US citizen or resident or green card holder, please include United States in the foreign country information field along with your US Tax Identification Number.

$It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

In case customer has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, customer to provide relevant Curing Documents as mentioned below:

FATCA/ CRS Indicia observed (ticked)	Documentation required for Cure of FATCA/ CRS indicia
U.S. place of birth	1. Self-certification that the account holder is neither a citizen of United States of America nor a resident for tax purposes; 2. Non-US passport or any non-US government issued document **evidencing nationality or citizenship** (refer list below); **AND** 3. **Any one** of the following documents: a. Certified Copy of "Certificate of Loss of Nationality or b. Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship; or Reason the customer did not obtain U.S. citizenship at birth
Residence/mailing address in a country other than India	1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; **and** 2. Documentary evidence (refer list below)
Telephone number in a country other than India	**If no Indian telephone number is provided** 1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; **and** 2. Documentary evidence (refer list below) **If Indian telephone number is provided along with a foreign country telephone number** 1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident for tax purposes of any country other than India; **OR** 2 Documentary evidence (refer list below)
Standing instructions to transfer funds to an account maintained in a country other than India (other than depository accounts)	1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; **and** 2. Documentary evidence (refer list below)

List of acceptable documentary evidence needed to establish the residence(s) for tax purposes:

1. Certificate of residence issued by an authorized government body*
2. Valid identification issued by an authorized government body* (e.g. Passport, National Identity card, etc.)

*** Government or agency thereof or a municipality of the country or territory in which the payee claims to be a resident.**

9. DEPOSIT DETAILS

I/We wish to book a ☐ Callable FD ☐ *Non-Callable fixed Deposit ☐ NRO Tax saver FD: (Tenure- 5 years lock-in)

Amount ₹ Currency Value Date D D M M Y Y Y Y

(*Value date will be given subject to availability of clear funds)

Amount in words

☐ Cash^ ☐ Cheque No. @ Date D D M M Y Y Y Y Drawn on Bank Branch

☐ Debit my RBL Bank Account Number

9. DEPOSIT DETAILS (*Contd..*)

Tenure ☐☐☐ Months ☐☐☐ Days Rate of Interest ☐☐ . ☐☐ % (p.a.)

Interest Pay out Option ☐ At Maturity ☐ Monthly (Discounted rate will be applicable for monthly payout) ☐ Quarterly ☐ Yearly

Interest Pay Out as per: ☐ Calendar days ☐ Deposit Anniversary

Maturity Instructions: ☐ Renew Principal & Interest ☐ Renew Principal & Repay Interest ☐ Do not renew - Repay principal & Interest

Interest/Maturity payment to ☐ DD/PO OR ☐ Account Number ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐ ☐ IFSC code ☐☐☐☐☐☐☐☐☐☐☐

In case you wish to credit the fixed deposit maturity proceeds to other bank, kindly provide us with a cancelled cheque of the beneficiary bank.

Is the first applicant a Senior Citizen ☐ Yes (If yes, attach a copy of document showing age proof as per the Bank's KYC policy) ☐ No

Sweep-In Facility Instruction: ☐ Yes ☐ No Link RBL Saving / Current Account Number ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

(Applicable only for fixed deposit booked other than Auto Sweep facility (Money Max Facility))

☐ I/We wish to activate the **Auto Sweep (Money Max Facility)** in my/our Savings/Current Account. Under this facility, I/We request and agree that Fixed Deposits (FDs) be automatically created as per default tenure and daily booking frequency. For all customers except those in the Aspire, Signature, and Insignia segments, the sweep out threshold (the balance above which an FD should be created) will be the higher of ₹ 50,000 or two times the customer's Monthly/ Quarterly Average Balance (MAB/QAB).For customers in the Aspire, Signature, and Insignia segments, the sweep out threshold will be as per the MAB/QAB requirements applicable to their respective segments. A single FD will be created from the amount exceeding the threshold, for a minimum of ₹ 5,000 and in multiples of ₹ 5,000 thereafter, up to a maximum of ₹ 25,00,000. The sweep in threshold will be equal to the MAB requirement and sweep in will occur only in the event of a daily MAB shortfall. (Not applicable for Aspire/ Signature/Insignia Customers).

Callable deposits - Customers can perform partial / full premature withdrawal.

Non-Callable deposits - Customers cannot perform premature withdrawal.

^If the Initial amount of account opening is in cash then customer should visit the branch in person and obtain official receipt.

@Cheque should be crossed A/c payee and drawn payable to 'RBL Bank Ltd. A/c. < Applicant's Name >'

Note: i. In case if we do not receive specific instruction from you before maturity of the Fixed Deposit, we will renew the Fixed Deposit for the original term as of the Fixed Deposit, at the applicable rate of interest prevailing on the day of maturity / renewal of Deposit. On full / partial pre-mature withdrawal of the FD, the interest will be paid at the rate applicable for the period for which the FD has actually remained with the bank, subject to a penalty of 1%. ii. In order to premature withdrawal under Sweep in instructions, The linked Term Deposit shall be broken prematurely on Last In First Out basis with applicable premature clauses to full fill the insufficient balance in the mapped Saving / Current Account. This facility is allowed if First account holder is common in the Saving / Current Account and Fixed Deposit Account. In case of the Saving / Current Account enjoying OD facility against FD, then Sweep-in facility will not be allowed.

10. *DECLARATION

I/We am/are residents of India. I/We, declare that the information furnished by me/us is true and correct. In case of the infromation is found to be false, untrue, misrepresenting and misleading, I am aware that I may be held liable for it. I/We, the undersigned have read and understood and agree to abide and be bound by all the provisions of the Terms & Conditions published on the bank's website www.rbl.bank.in (Others > Terms & Condition) governing the opening of all my/our accounts, present and future with RBL Bank and those relating to various services including but not limited to ATMs/Debit Card/Mobile Banking/Internet Banking/Phone Banking/Bill Payment Facility etc. I/We understand that the Bank may at its sole discretion discontinue any of the services completely or partially without any notice to me/us. I agree that the Bank may debit my account for service charges as applicable from time to time. I/We agree that if the premature withdrawal is permitted at my/our request, the payment of interest on the deposit may be allowed in accordance with the prevailing stipulations laid down by the Bank/Reserve Bank of India from time to time. I/We authorise the Bank or its agents to make references/enquiries as may be necessary and to exchange/share/part with any/all information with credit bureaus/statutory bodies/other agencies as may be deemed necessary or appropriate.

I/ We, hereby acknowledge and affirm that I/we am/ are not bound by any non-disclosure agreement with a third party/ client preventing me/ us from disclosing any transaction and client details to RBL Bank and further agree to provide any necessary client information required by RBL Bank in accordance with applicable legal and regulatory requirements.

I / We hereby confirm that the mobile number and email ID provided by me/us to open an account with RBL Bank is/are not already registered with any other account held with RBL Bank. If any such account is found to exist, the new account may not be opened or freeze may be marked on the new account.

In case of any update in the KYC documents / information submitted by me/us at the time of establishment of account-based relationship and thereafter, as necessary; I /We shall submit to the Bank the update of such documents within 30 days of the update to the documents.

For Debit Cards: I/We hereby authorize and give consent to the Bank to disclose, without notice to me/us, information furnished by me/us in application form(s)/related documents executed in relation to Account related services and products availed from the Bank, to the Bank's branches/subsidiaries/affiliates, Services Providers, other banks/financial institution, governmental or regulatory authorities or third parties for KYC information verification, or for other related purposes that the Bank may deem fit. I/We hereby expressly waive the privilege of privacy and privity of contract

I / we hereby consent to uploading the required information on CKYC Registry. I hereby grant my consent to download and store my records from CKYC Registry for the purpose of verification of my identity and address. I understand that my KYC Record includes my KYC Records /Personal information such as my name, address, date of birth, PAN number etc.

a. RBL Bank, would like to use your personal details in the application form from time to time to send you marketing information /contact you to inform about products, services or promotional offers that are offered by RBL bank, on its own and in collaboration or through tie-ups with partners/ third parties. By giving your preference below you either allow or disallow RBL Bank to contact you through SMS, phone calls and Emails :

   ☐ Yes, Bank can contact me ☐ No, Bank may not contact me

b. I/We authorize the Bank to share, disclose, exchange, or use in any manner whatsoever, without any further specific consent or authorisation from me/us, the information/data provided by/related to me/us to the Group companies/Associates/Service providers/Subsidiaries/Affiliates/Joint Ventures of RBL Bank/ any person with whom the Bank has entered/ propose to enter into an arrangement for provision of 'services/products' for the purpose of marketing/offering/selling any product/services offered by Bank.

   ☐ Yes ☐ No, I do not consent to share, disclose, exchange, or use my information/data

☐ There is no change in my KYC details uploaded under my Customer ID (Tick ☑ if applicable else submit a fresh CIF).

☐ There is no change in existing status of KYC Information of the firm.

I/We hereby confirm that the account opened/maintained with your bank shall be utilised solely for transactions relating to our authorised business and/or activities as declared to RBL Bank. We further confirm that the account shall not, under any circumstances, be used as a pass-through account for facilitating third-party transactions, except as permitted under applicable laws and regulations.

☐ **Yes –** the account will be used only for transactions related to our authorised business/activities and will not be used as a pass-through channel for third-party transactions.

☐ **No –** the account may be used as a pass-through channel for facilitating third-party transactions basis bona fide business requirements, to the extent permitted by applicable laws, regulations, and RBI guidelines. *(Applicable only for entities licensed or authorised by a financial sector regulator to facilitate third-party transactions).*

I/We agree, undertake and declare the following for Auto Sweep Facility (Money Max Facility):

1. PAN is mandatory to avail sweep out / in facility. Fixed deposits are formed for default tenure mentioned above only, at applicable interest rates.
2. Sweep-in facility will be enabled by default on the Fixed Deposit(s) booked through Sweep-out facility.
3. Fixed Deposit **(FD)** Account shall be created in the units of ₹ 5000 each. A single Fixed Deposit will be booked for the excess fund available in linked account at end of the day.
4. Withdrawal/Sweep-in Facility will be automatic and in the multiple of ₹ 1000 in LIFO basis (Last in First Out) to meet the withdrawal/overdraft requirement on account of payment through ATM, cheque received in clearing/collection or by any other means across the counter or at my/our specific request for transfer of funds from Savings/Current Account. The FDs so withdrawn shall cease to earn interest from the date of withdrawal and the interest upto the date of withdrawal, if payable shall be paid as per extant RBI's directives/ Bank's policy. Any shortfall in minimum balance requirement would not be fulfilled through Sweep-in facility.
5. **Premature Withdrawal:** Premature closure penalty will be applicable for the entire withdrawal amount as per Bank's policy. However, the interest rate as per the contracted rate at the time of creation of FD for the period the deposit has remained with the Bank will apply.

10. *DECLARATION (*Contd..*)

6. Fixed Deposit Advice will not be provided for the term deposit booked through Sweep-Out Facility.
7. Loan/Overdraft Facility shall not be granted against the said FD.
8. **Nomination:** I/We authorize the Bank to consider Nominee registered in my/our Savings/Current account for deposits booked through Money Max Facility.
9. I/We understand that Sweep-out facility cannot be availed in self-operated Minor account.
10. The interest on FD booked through sweep-out facility will be payable at the time of maturity and monthly or quarterly interest payment options will not be available.
11. **Survivorship clause:** In the case of Fixed Deposits, Recurring/Smart Deposits booked through all channels including Sweep out Fixed Deposits, with a mode of operation as 'Either or Survivor' or 'Former or Survivor' or 'Anyone or Survivor/s' or 'Jointly' and for premature liquidation of such deposits, I/we agree that in case of joint fixed deposit with a survivorship clause, in the event of death of one of the depositors, the banks liability will be discharged by paying the Fixed Deposit proceeds prematurely to the survivor/s on request.
12. Tax at source will be deducted as per the provisions of Income Tax Act prevalent from time to time. In the event, the interest accrued at the time of deduction of TDS is not sufficient for recovering the applicable TDS, the amount of TDS can be deducted from the existing fixed deposit at the time of interest application.
13. I/We understand that upon disabling of Auto Sweep facility, no new deposit will be auto created.
14. I/We understand that the Bank may change/alter/modify the applicable terms & conditions and the Account terms and conditions are available on RBL Bank website/ nearest branch.
15. I / we authorize the bank to open Fixed deposit with an auto-renewal facility and to renew interest and principal both at the time of maturity under same period with prevailing applicable interest rates.
16. I/We accept the general terms and conditions hosted on RBL Bank website for Term Deposit at https://www.rbl.bank.in/terms-and-conditions.

Declaration under 'Foreign contribution (Regulation) Act, 2010 and Foreign Contribution (Regulation) Rules, 2011'

☐ I/We confirm that as part of the regular operations, I/we do not receive any foreign contribution from abroad and if in future we will be receiving the same then we will inform the bank in advance with sufficient notice OR

☐ I/We confirm that as a part of the regular operation, we receive foreign contribution from abroad. Separate approval from ministry of home affairs (MHA) has been sought for crediting the foreign contributions with the FCRA Account with _____ Bank which has been opened specifically for such FCRA credits and I/we confirm that in the account with RBL Bank,

11. *SIGNATURE

(Please do not sign this form if it is BLANK. Please ensure all relevant sections and columns are completely filled to your satisfaction and only then sign the form)

Signature/Thumb Impression of depositor & Rubber stamp	Signature/Thumb Impression of Mandate holder & Rubber stamp
Name : _____ Date : D D M M Y Y Y Y	Name : _____ Date : D D M M Y Y Y Y

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12. *NOMINATION FORM DA 1 : (Proprietor cannot be a Nominee in Sole Proprietor firm)

☐ I/We wish to make a nomination for above account.
☐ I/We do not require nomination facility. I/We understand & acknowledge the risk & consequences associated with nomination not given by me.

Do you wish to replicate the nominee details from your existing account(s) as per the records in our system for this new account? ☐ *Yes ☐ No

*If Yes, please mention existing A/C number _____

I (Name) _____

_____ nominate the following person to whom in the event of my death the amount of deposit in the above account, may be returned by RBL Bank.

* I/We wish to have ☐ Successive Nomination ☐ Simultaneous Nomination (If ticked, proportion of deposit needs to be filled (Column I))

Sr. No. (A)	Name of Nominee (B)	Address (C)	Email/Mobile number, if any (D)	Relationship with bank customer, if any (E)	*Date of Birth (F)	Age (G)	Order Of Priority in Case of Successive Nomination (H)	Proportion of amount of deposit in percentage in case of bank deposit. (I)
1							First Nominee	
2							Second Nominee	
3							Third Nominee	
4							Fourth Nominee	

* If any assigned nominee is a minor, guardian details must be provided.

Note:

i. Simultaneous nomination refers to nomination of one or more nominee but not exceeding four, with defined percentage and total amounting to 100%
ii. Successive nomination refers to nomination in favour of one individual in order of priority and is also limited to four nominees; and the nominee lower in the order shall become effective only after the death of the nominee in the higher order.
iii. In respect of the deposits, out of column (H) and (I), only one column is to be filled.
iv. Total percentage across all nominees in column (I) must equal 100%.
v. If more than one individual is nominated, the order of priority shall be deemed to be in order in which names appear in column (B)

Guardian Details (if any nominee is a minor)

Serial Number	Name of Nominee	Name of Guardian	Relationship with Nominee	Address	Email/Mobile number, if any
1					
2					
3					
4					

Signature/Thumb Impression of depositor & Rubber stamp	

12. *NOMINATION FORM DA 1 : (Proprietor cannot be a Nominee in Sole Proprietor firm) (Contd..)

***** Witness details**

Name	Name
Signature***	Signature***
Address	Address
Date D D M M Y Y Y Y Place	Date D D M M Y Y Y Y Place

*** Witness(es) required only if the depositor is giving thumb impression and not signature. (Witness can be i. Both Bank officials, ii. Both third party or iii. 1 third party and 1 Bank official

** In case nominee is a minor the nomination must be signed by person lawfully entitled to act on behalf of minor.

13. *SOLE PROPRIETORSHIP DECLARATION

I hereby affirm that I am The Sole Proprietor of the business under the name & style of and as such am solely responsible for the liabilities thereof. I further confirm that I am and shall continue to be personally and fully responsible for all business conducted under my own name.

I request you The RBL Bank branch to open a Current Account for the said proprietary concern.

I declare myself liable on all cheques and others which may be drawn on said account and agree to comply with and to be bound by the Bank's rules for the conduct of Business Accounts also request and' authorize RBL bank to honor all cheques or orders which may be drawn or bills accepted or notes made or receipts for monies owed by the Bank to the proprietary concern duly signed on behalf of the said concern in the manner as instructed in respect of operations of the account including through channels by the sole proprietorship's account with the Bank and for all cheques, guarantee or other orders ,which may be drawn or bills accepted or noted negotiable instruments passes on the Sole Proprietorship's behalf of receipts for money owned by you to the sole Proprietorship and to debit such cheques, guarantees, orders, bills notes or negotiable instruments to the Sole Proprietorships Account {s) with you whether such accounts be for the time being in credit or overdrawn or may be overdrawn such debit without prejudice to the Bank's rights to refuse to allow any overdraft or any increase of overdraft and I shall be responsible for the repayment of any such overdraft and Interest.

I shall advice the Bank in writing of any change that may take place in the constitution of my proprietary concern, and I shall be l able to the Bank on any obligations which may be outstanding in the said Concern's name on the Bank's books and until such obligations shall have been liquidated.

I have read the terms and conditions applicable to such an account and services related thereto and shall always be bound by abide by them amendments from time to time.

Signature/Thumb Impression of the Sole Proprietor with stamp

14. *FOR BANK USE ONLY

*KYC Details	*Document Name	*Doc ID No.	*Place of Issue	*Date of Issue	Expiry Date	*Issuing Authority
*Proof of preferred mailing Address				D D M M Y Y Y Y	D D M M Y Y Y Y	
Proof of registered Address				D D M M Y Y Y Y	D D M M Y Y Y Y	
Proof of principal place of business				D D M M Y Y Y Y	D D M M Y Y Y Y	
*Proof of Identity 1				D D M M Y Y Y Y	D D M M Y Y Y Y	
Proof of Identity 2				D D M M Y Y Y Y	D D M M Y Y Y Y	

15. *ACKNOWLEDGEMENT

Received application for ☐ Current Account

Ref No.

Initial Payment Details

Initial Amount ₹ In words

☐ Cash ₹

☐ Cheque No Date D D M M Y Y Y Y Drawn on Bank Branch

☐ Debit to my RBL Bank A/c Branch Code

Note: DD/PO/Cheque subject to clearance

Received duly completed Nomination FORM DA1 ☐ Yes ☐ No

Name of Bank Official (Checked by)

Please Quote your reference No. for any communication

Signature of Bank Official & stamp

Employee ID

Date D D M M Y Y Y Y

SOPROP/Sep-26/Ver.1.0

Dedicated team of phone banking officers available 24X7 - Call us on: +91 22 6232 7777

Email us at :
For Resident/Non Individual Customers: customercare@rbl.bank.in
For Non Resident Customers: nribanking@rbl.bank.in

Website :
www.rbl.bank.in

SMS Banking :
Type **HELP** & send to **9223366333** for all SMS Banking Enquiries

MyBank
Scan here to download the latest version of RBL MyBank - mobile banking app

Login to Internet Banking:
www.rbl.bank.in and enjoy easy access to your account

Debit Card :
Best in class features & benefits choose the one that suits you the best

BANK USE SECTION

I have met Mr. / Ms. _____

_____

on preferred mailing address of the entity. I hereby confirm the following details.

☐ Identity ☐ Address ☐ Customer Business in line with the profile
☐ Fill up the form & Signature in my presence ☐ Verification of copies with the original documents ☐ Lat & Long Location

Date : D D M M Y Y Y Y & Time : H H M M A.M./P.M.

Signature of Bank Official & stamp

*Branch Code: _____ Emp. Branch: _____

***Lat:** Mandatory ***Long:** Mandatory

Emp ID _____

Name of the Emp.: _____

Emp. Designation: _____

Sourcing Branch Code _____

*LC Code _____ (Sourcing Code)

*LG Code _____ (Lead Generator)

*Primary Relationship Manager ID _____

Secondary Relationship Manager ID _____

*Business Segment: _____

Customer Segment: _____

*Funds Parked Branch code _____ *Value Date D D M M Y Y Y Y

*Funds Parked at Account _____

*URN/Transaction ID: _____ (URN not applicable for R-Kit)

Current Account 4 0 _____

Prefix Preferred/R-KIT Account Number

*Exports/Imports Involved ☐ Yes ☐ No ☐ PEP ☐ CRPEP

Portfolio ID _____

*Risk Categorization Should match with RRT checklist ☐ L ☐ M ☐ H

*BSR Type of Organization _____

*Source of Income /Funds 1 Business Income 1 Investment Income 1 Agriculture 1 Others _____

*Expected No. of Transactions Annually 1 <250 2 250 - 500 3 500 - 1000 4 1000 - 2500 5 >2500

***Expected Transaction Amount - (in ₹)** _____

*Cash Deposits - Annual (in ₹)	1 0-5 Lakh	2 5-10 Lakh	3 10-25 Lakh	4 25-50 Lakh	5 50 Lakh-1 Cr.	6 1-10 Cr.	8 >10 Cr.	
*Cash Withdrawal - Annual (in ₹)	1 0-5 Lakh	2 5-10 Lakh	3 10-25 Lakh	4 25-50 Lakh	5 50 Lakh-1 Cr.	6 1-10 Cr.	8 >10 Cr.	
FCY Inward - Annual (in USD) (Mandatory in case Export/Import involved)	1 0-5 Lakh	2 5-10 Lakh	3 10-25 Lakh	4 25-50 Lakh	5 50 Lakh-1 Cr.	6 1-5 Cr.	7 5-10 Cr.	8 >10 Cr.
FCY Outward - Annual (in USD) (Mandatory in case Export/Import involved)	1 0-5 Lakh	2 5-10 Lakh	3 10-25 Lakh	4 25-50 Lakh	5 50 Lakh-1 Cr.	6 1-5 Cr.	7 5-10 Cr.	8 >10 Cr.
*Domestic Inward (in ₹)	1 0-5 Lakh	2 5-10 Lakh	3 10-25 Lakh	4 25-50 Lakh	5 50 Lakh-1 Cr.	6 1-5 Cr.	7 5-10 Cr.	8 >10 Cr.
*Domestic Outward (in ₹)	1 0-5 Lakh	2 5-10 Lakh	3 10-25 Lakh	4 25-50 Lakh	5 50 Lakh-1 Cr.	6 1-5 Cr.	7 5-10 Cr.	8 >10 Cr.

Promo Code 1 _____ Promo Code 2 _____ Promo Code 3 _____

MIS Code. 1 _____ MIS Code. 2 _____ MIS Code. 3 _____

MIS Code. 4 _____ MIS Code. 5 _____ MIS Code. 6 _____

Checked by (Name of bank official) : _____

Emp ID _____

Signature : _____

16. MOST IMPORTANT TERMS & CONDITIONS

- All physical deliverables will be sent to 'Preferred Mailing Address' and digital deliverables on current registered Email ID or Mobile No.
- Bank provides Standing Instruction ('SI') facility for auto debit of accounts for payment to registered payees. Bank will not be held liable for failure to execute the SI for shortage of funds or for delays caused by third parties involved in performance of SI.
- Bank charges apply for below services at rates indicated in Schedule of Charges displayed on website and on Notice Board of Branches.
  - o Cash Deposits & withdrawals, Non-maintenance of minimum balance prescribed depending on category of accounts, SMS alerts, Remittances, A/c. closure charges etc.
- Debit Card is/will be, dispatched in an 'Inactive' status. To activate, you must first generate your PIN. ATM and POS transactions within India are activated by default.
- Bank will contact the customer for important account related information irrespective of being registered for DNC. (eg. Unauthorized transactions, etc.)
- The transaction rights/limits of the Debit Card can be enabled/disabled/modified, through following options – Internet banking/Mobile banking/IVR/Branch.
- In a Fixed Deposit, Interest at contracted rate is compounded quarterly. Year for the purpose of interest computation is taken as 365 days. On pre-mature closure of deposit including full or partial withdrawal, the interest will be paid at the rate applicable for the period for which the FD has actually remained with the bank, subject to a penalty of 1%. No interest payable if deposit remained with Bank for a duration less than minimum tenure, as per RBI guidelines.
- Debit card Fee will be applicable as per schedule of charges on www.rbl.bank.in >> Service Charges & Fees
- If the minor does not have a PAN, the guardian's PAN will be updated against the minor's customer ID
- Accounts with no customer induced transactions (viz. financial, non-financial or KYC updation) for continuous period of 24 months will be categorised as 'Dormant/Inoperative Account'. No cash deposition or withdrawal through any mode will be permitted until the customer visits the branch and tenders required document for activation of the account.
- Deposits in bank accounts are insured with DICGC up to amounts stipulated by regulations from time to time. For details on deposit insurance, please log onto www.dicgc.org.in.
- Bank has Grievance Redressal policy displayed under 'Customer Services on Banks website www.rbl.bank.in for redressal of customer grievances. To register your grievance, please visit your nearest branch or log on to Customer Services on Banks website www.rbl.bank.in or call +91 22 6232 7777.
- In the event of the death of the depositor, the Bank shall permit premature termination of the Term Deposit without levy of any penal interest or penalty, notwithstanding the existence of a lock-in period.
- For detailed terms and condition, please visit www.rbl.bank.in >> Others >> Most Important Terms and Condition.

Nomination:

1. Customer may nominate more than one individual, with clearly defined share percentages.
2. Nomination is applicable to all bank accounts/lockers/articles detailed above unless otherwise specified.
3. If deposit is made in the name of minor or article is left in safe custody in the name of minor or locker is solely hired in the name of minor, this nomination form should be signed by an individual lawfully entitled to act on behalf of the minor

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