FORM NO. MGT - 9
EXTRACT OF ANNUAL RETURN

As on the financial year ended 31.03.2019

[Pursuant to Section 92(3) of the Companies Act, 2013, and Rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. Registration and other details

CIN	L65191PN1943PLC007308
Registration Date	14/06/1943
Name of the Company	RBL BANK LIMITED
Category / Sub-Category of the Company	Public Company – Limited by Shares
Address of the Registered Office and contact details	1st Lane, Shahupuri, Kolhapur 416 001 Telephone: 0231 6650214 Fax: 0231 2657386 Email: investorgrievances@rblbank.com
Whether listed company	Yes
Name, address and contact details of Registrar and Transfer Agent , if any	Link Intime India Pvt. Ltd. C – 101, 247 Park, 1st Floor, LBS Marg, Vikhroli West, Mumbai – 400 083 Tel No. 022 – 49186270 Fax No. 022 - 49186060 Email ID : rnt.helpdesk@linkintime.co.in

II. All the Business Activities contributing 10% or more of the total turnover of the Company shall be stated:

Name and Description of main Products / Services	NIC Code of the Product / Service	% to total turnover of the Company
Banking	64191	100%

III. Particulars of Holding, Subsidiary and Associate Companies:

Name and address of the Company	CIN / GLN	Holding / Subsidiary / Associate	% of shares held	Applicable Section
RBL Finserve Limited	U74110MH2007PLC175181	Subsidiary	100%	2(87)

IV. SHARE HOLDING PATTERN ("SHP") (Equity share capital breakup as % of total Equity)

(i) Category - wise Shareholding

RBL Bank Limited										
Sr No	**Category of Shareholders**	**Shareholding at the beginning of the year - 2018 (as on 1st April 2018 i.e. on the basis of SHP of 31st March 2018)**				**Shareholding at the end of the year - 2019 (as on 31st March 2019)**				**% Change during the year**
		Demat	**Physical**	**Total**	**% of Total Shares**	**Demat**	**Physical**	**Total**	**% of Total Shares**	
(A)	**Shareholding of Promoter and Promoter Group**									
[1]	**Indian**									
(a)	Individuals / Hindu Undivided Family	-	-	-	-	-	-	-	-	-
(b)	Central Government / State Government(s)	-	-	-	-	-	-	-	-	-
(c)	Financial Institutions / Banks	-	-	-	-	-	-	-	-	-
(d)	Any Other (Specify)							-		
	Sub Total (A)(1)	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**
[2]	**Foreign**									
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)	-	-	-	-	-	-	-	-	-
(b)	Government	-	-	-	-	-	-	-	-	-
(c)	Institutions	-	-	-	-	-	-	-	-	-
(d)	Foreign Portfolio Investor	-	-	-	-	-	-	-	-	-
(e)	Any Other (Specify)									
	Sub Total (A)(2)	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**
	Total Shareholding of Promoter and Promoter Group(A)=(A)(1)+(A)(2)	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**
(B)	**Public Shareholding**									
[1]	**Institutions**									
(a)	Mutual Funds / UTI	5,17,16,765		5,17,16,765	12.32	8,60,64,381	-	8,60,64,381	20.17	7.85
(b)	Venture Capital Funds	-	-	-	-	-	-	-	-	-
(c)	Alternate Investment Funds	19,12,587		19,12,587	0.46	16,90,552	-	16,90,552	0.40	(0.06)
(d)	Foreign Venture Capital Investors	-	-	-	-	-	-	-	-	
(e)	Foreign Portfolio Investor	6,50,69,522		6,50,69,522	15.51	8,01,63,826	-	8,01,63,826	18.79	3.28
(f)	Financial Institutions / Banks	16,13,411		16,13,411	0.38	10,84,931	-	10,84,931	0.25	(0.13)
(g)	Insurance Companies	16,00,000		16,00,000	0.38	19,30,120	-	19,30,120	0.45	0.07
(h)	Provident Funds/ Pension Funds	-	-	-	-	-	-	-	-	
(i)	Any Other (Specify)									
	Foreign Bank	1,43,50,000		1,43,50,000	3.42	1,43,50,000	-	1,43,50,000	3.36	(0.06)
	Sub Total (B)(1)	**13,62,62,285**	**-**	**13,62,62,285**	**32.47**	**18,52,83,810**	**-**	**18,52,83,810**	**43.42**	10.95
[2]	**Central Government/ State Government(s)/ President of India**									-
	Central Government / State Government(s)	-	-	-	-	6,55,320	-	6,55,320	0.15	0.15
	Sub Total (B)(2)	**-**	**-**	**-**	**-**	**6,55,320**	**-**	**6,55,320**	**0.15**	0.15
[3]	**Non-Institutions**									-
(a)	Individuals									-
(i)	Individual shareholders holding nominal share capital upto Rs. 1 lakh.	3,92,44,248	73,27,934	4,65,72,182	11.10	32531576	47,90,339	3,73,21,915	8.75	(2.35)
(ii)	Individual shareholders holding nominal share capital in excess of Rs. 1 lakh	7,07,67,609	48,33,684	7,56,01,293	18.01	60757456	23,90,936	6,31,48,392	14.80	(3.22)
(b)	NBFCs registered with RBI	-	-	-	-	1,50,393		1,50,393	0.04	0.04
(c)	Employee Trusts	-	-	-	-	-	-	-	-	
(d)	Overseas Depositories(holding DRs) (balancing figure)	-	-	-	-	-	-	-	-	
(e)	Any Other (Specify)									
	IEPF	1,19,505	-	1,19,505	0.03	1,45,755		1,45,755	0.03	0.01
	Trusts	5,74,614	-	5,74,614	0.14	83,029		83,029	0.02	(0.12)
	Foreign Nationals	1,877	-	1,877	-	575		575	0.00	0.00
	Hindu Undivided Family	30,10,107	-	30,10,107	0.72	20,04,988		20,04,988	0.47	(0.25)

	Foreign Companies	8,57,92,374	-	8,57,92,374	20.44	8,35,95,098		8,35,95,098	19.59	(0.85)
	Non Resident Indians (Non Repat)	15,06,190	-	15,06,190	0.36	15,17,033		15,17,033	0.36	(0.00)
	Directors & Director's Relative	1,84,81,265	500	1,84,81,765	4.40	15386733	500	1,53,87,233	3.61	(0.80)
	Non Resident Indians (Repat)	38,94,625	-	38,94,625	0.93	41,55,732	-	41,55,732	0.97	0.05
	Body Corp-Ltd Liability Partnership	-	17,330	17,330	-	-	17,330	17,330		-
	Foreign Portfolio Investor (Individual)	75,000	-	75,000	0.02	75,001		75,001	0.02	(0.00)
	Clearing Member	18,28,047	-	18,28,047	0.44	33,84,629		33,84,629	0.79	0.36
	Bodies Corporate	4,59,23,653	6,700	4,59,30,353	10.94	2,97,76,795	6,700	2,97,83,495	6.98	(3.96)
	Sub Total (B)(3)	**27,12,19,114**	**1,21,86,148**	**28,34,05,262**	**67.53**	**23,35,64,793**	**72,05,805**	**24,07,70,598**	**56.42**	(11.11)
	Total Public Shareholding(B)=(B)(1)+(B)(2)+(B)(3)	**40,74,81,399**	**1,21,86,148**	**41,96,67,547**	**100.00**	41,95,03,923	72,05,805	42,67,09,728	100.00	(0.00)
	Total (A)+(B)	**40,74,81,399**	**1,21,86,148**	**41,96,67,547**	**100.00**	**41,95,03,923**	**72,05,805**	**42,67,09,728**	**100.00**	(0.00)
(C)	**Non Promoter - Non Public**									
[1]	Custodian/DR Holder	-	-	-	-	-	-	-	-	-
[2]	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	-	-	-	-	-	-	-	-	-
	Total (A)+(B)+(C)	**40,74,81,399**	**1,21,86,148**	**41,96,67,547**	**100.00**	**41,95,03,923**	**72,05,805**	**42,67,09,728**	**100.00**	

(ii) Shareholding of Promoters

Sr. No.	Promoter's Name	Shareholding at the beginning of the year (as on 1st April 2018 i.e. on the basis of SHP of 31st March 2018)			Shareholding at the end of the year (as on 31st March 2019)			% change in share holding during the year
		No. of shares	% of total shares of the company	% of shares pledged / encumbered to total shares	No. of shares	% of total shares of the company	% of shares pledged / encumbered to total shares	
Not Applicable								

(iii) Change in Promoters' Shareholding

Sr. No.	Name of Promoter	Shareholding at the beginning of the year (as on 1st April 2018 i.e. on the basis of SHP of 31st March 2018)		Cumulative Shareholding during the year				Shareholding at the end of the year (as on 31st March 2019)	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company	Date of Change	Reason of Change	No. of shares	% of total shares of the company
Not Applicable									

(iv) Shareholding pattern of top 10 shareholders (other than Directors, Promoters and Holders of GDRs and ADRs)							
Sr No.		**Shareholding at the beginning of the year - 2018 (as on 1st April 2018 i.e. on the basis of SHP of 31st March 2018)**		**Transactions during the year**		**Cumulative Shareholding at the end of the year - 2019 (as on 31st March 2019)**	
	Name & Type of Transaction	**No. of Shares Held**	**% of Total Shares of the Company**	**Date of Transaction**	**No. of Shares**	**No of Shares Held**	**% of Total Shares of the Company**
1	CDC GROUP PLC	2,82,51,041	6.73			28251041	6.73
	AT THE END OF THE YEAR					28251041	6.62
2	CARTICA CAPITAL LTD	1,53,52,266	3.66			15352266	3.66
	Market Sell			27-Jul-2018	(17,65,261)	1,35,87,005	3.21
	Market Sell			03-Aug-2018	(4,31,435)	1,31,55,570	3.11
	Market Sell			10-Aug-2018	(3,08,960)	1,28,46,610	3.04
	Market Sell			17-Aug-2018	(1,22,650)	1,27,23,960	3.01
	Market Sell			24-Aug-2018	(13,42,933)	1,13,81,027	2.69
	Market Sell			31-Aug-2018	(5,35,417)	1,08,45,610	2.56
	Market Purchase			05-Oct-2018	9,50,000	1,17,95,610	2.78
	Market Purchase			12-Oct-2018	9,10,000	1,27,05,610	2.99
	Market Purchase			09-Nov-2018	4,31,929	1,31,37,539	3.09
	Market Purchase			16-Nov-2018	12,80,000	1,44,17,539	3.39
	Market Purchase			23-Nov-2018	8,05,879	1,52,23,418	3.58
	Market Purchase			30-Nov-2018	5,95,980	1,58,19,398	3.72
	Market Sell			11-Jan-2019	(2,50,000)	1,55,69,398	3.66
	Market Sell			08-Feb-2019	(40,443)	1,55,28,955	3.65
	Market Sell			15-Feb-2019	(4,04,932)	1,51,24,023	3.55
	Market Sell			22-Feb-2019	(1,00,038)	1,50,23,985	3.53
	AT THE END OF THE YEAR					1,50,23,985	3.52
3	ASIAN DEVELOPMENT BANK	1,43,50,000	3.42			1,43,50,000	3.42
	AT THE END OF THE YEAR					1,43,50,000	3.36
4	KOTAK STANDARD MULTICAP FUND /KOTAK SELECT FOCUS FUND	1,38,43,315	3.30			1,38,43,315	3.30
	Market Purchase			06-Apr-2018	4,96,663	1,43,39,978	3.42
	Market Purchase			13-Apr-2018	86,530	1,44,26,508	3.44
	Market Purchase			20-Apr-2018	64,885	1,44,91,393	3.45
	Market Sell			27-Apr-2018	(2,66,657)	1,42,24,736	3.39
	Market Sell			04-May-2018	(2,93,672)	1,39,31,064	3.32
	Market Purchase			11-May-2018	96,421	1,40,27,485	3.34
	Market Purchase			18-May-2018	90,863	1,41,18,348	3.36
	Market Purchase			25-May-2018	54,885	1,41,73,233	3.38
	Market Purchase			01-Jun-2018	61,131	1,42,34,364	3.38

Sr No.		Shareholding at the beginning of the year - 2018 (as on 1st April 2018 i.e. on the basis of SHP of 31st March 2018)		Transactions during the year		Cumulative Shareholding at the end of the year - 2019 (as on 31st March 2019)	
	Name & Type of Transaction	No. of Shares Held	% of Total Shares of the Company	Date of Transaction	No. of Shares	No of Shares Held	% of Total Shares of the Company
	Market Purchase			08-Jun-2018	1,58,412	1,43,92,776	3.42
	Market Purchase			15-Jun-2018	9,09,643	1,53,02,419	3.64
	Market Purchase			22-Jun-2018	7,354	1,53,09,773	3.64
	Market Purchase			30-Jun-2018	29,285	1,53,39,058	3.64
	Market Purchase			06-Jul-2018	82,492	1,54,21,550	3.66
	Market Purchase			13-Jul-2018	2,23,200	1,56,44,750	3.72
	Market Purchase			20-Jul-2018	1,62,844	1,58,07,594	3.74
	Market Purchase			27-Jul-2018	6,73,777	1,64,81,371	3.90
	Market Purchase			03-Aug-2018	1,56,360	1,66,37,731	3.94
	Market Sell			10-Aug-2018	(92,843)	1,65,44,888	3.91
	Market Sell			17-Aug-2018	(76,129)	1,64,68,759	3.90
	Market Purchase			24-Aug-2018	47,115	1,65,15,874	3.90
	Market Sell			31-Aug-2018	(69,163)	1,64,46,711	3.88
	Market Purchase			07-Sep-2018	1,49,509	1,65,96,220	3.91
	Market Sell			14-Sep-2018	(2,94,724)	1,63,01,496	3.84
	Market Sell			21-Sep-2018	(13,049)	1,62,88,447	3.84
	Market Purchase			29-Sep-2018	8,148	1,62,96,595	3.84
	Market Sell			05-Oct-2018	(2,70,990)	1,60,25,605	3.78
	Market Sell			12-Oct-2018	(10,781)	1,60,14,824	3.77
	Market Purchase			19-Oct-2018	2,53,743	1,62,68,567	3.83
	Market Sell			26-Oct-2018	(2,51,213)	1,60,17,354	3.77
	Market Purchase			02-Nov-2018	2,96,623	1,63,13,977	3.84
	Market Sell			09-Nov-2018	(31,236)	1,62,82,741	3.83
	Market Sell			16-Nov-2018	(3,73,446)	1,59,09,295	3.74
	Market Sell			23-Nov-2018	(75,751)	1,58,33,544	3.72
	Market Sell			30-Nov-2018	(1,99,545)	1,56,33,999	3.68
	Market Sell			07-Dec-2018	(1,80,351)	1,54,53,648	3.63
	Market Purchase			14-Dec-2018	58,068	1,55,11,716	3.65
	Market Sell			21-Dec-2018	(30,538)	1,54,81,178	3.64
	Market Sell			28-Dec-2018	(1,86,950)	1,52,94,228	3.59
	Market Purchase			31-Dec-2018	2,382	1,52,96,610	3.60
	Market Purchase			04-Jan-2019	1,33,396	1,54,30,006	3.63
	Market Purchase			11-Jan-2019	10,103	1,54,40,109	3.63
	Market Purchase			18-Jan-2019	16,243	1,54,56,352	3.63
	Market Purchase			25-Jan-2019	5,278	1,54,61,630	3.63
	Market Purchase			01-Feb-2019	2,87,601	1,57,49,231	3.70

Sr No.		Shareholding at the beginning of the year - 2018 (as on 1st April 2018 i.e. on the basis of SHP of 31st March 2018)		Transactions during the year		Cumulative Shareholding at the end of the year - 2019 (as on 31st March 2019)	
	Name & Type of Transaction	No. of Shares Held	% of Total Shares of the Company	Date of Transaction	No. of Shares	No of Shares Held	% of Total Shares of the Company
	Market Sell			08-Feb-2019	(49,589)	1,56,99,642	3.69
	Market Purchase			15-Feb-2019	85,357	1,57,84,999	3.71
	Market Purchase			22-Feb-2019	73,064	1,58,58,063	3.72
	Market Sell			01-Mar-2019	(1,34,025)	1,57,24,038	3.69
	Market Sell			08-Mar-2019	(1,23,149)	1,56,00,889	3.66
	Market Sell			15-Mar-2019	(3,02,225)	1,52,98,664	3.59
	Market Sell			22-Mar-2019	(12,35,359)	1,40,63,305	3.30
	Market Purchase			29-Mar-2019	2,56,510	1,43,19,815	3.36
	AT THE END OF THE YEAR					1,43,19,815	3.36
5	MOTILAL OSWAL MULTICAP 35 FUND	79,85,839	1.90			79,85,839	1.90
	Market Purchase			06-Apr-2018	1,140	79,86,979	1.90
	Market Purchase			20-Apr-2018	49	79,87,028	1.90
	Market Sell			27-Apr-2018	(1,00,000)	78,87,028	1.88
	Market Purchase			18-May-2018	1,89,797	80,76,825	1.92
	Market Purchase			08-Jun-2018	2,92,000	83,68,825	1.99
	Market Purchase			15-Jun-2018	2,75,000	86,43,825	2.05
	Market Purchase			22-Jun-2018	3,50,000	89,93,825	2.14
	Market Purchase			30-Jun-2018	1,27,461	91,21,286	2.17
	Market Purchase			06-Jul-2018	59,626	91,80,912	2.18
	Market Sell			13-Jul-2018	(42,006)	91,38,906	2.17
	Market Purchase			03-Aug-2018	86,964	92,25,870	2.18
	Market Purchase			10-Aug-2018	1,75,918	94,01,788	2.22
	Market Purchase			17-Aug-2018	260	94,02,048	2.22
	Market Purchase			24-Aug-2018	82,901	94,84,949	2.24
	Market Purchase			07-Sep-2018	46,908	95,31,857	2.25
	Market Purchase			14-Sep-2018	13,54,362	1,08,86,219	2.57
	Market Purchase			21-Sep-2018	3,96,003	1,12,82,222	2.66
	Market Purchase			29-Sep-2018	1,99,671	1,14,81,893	2.71
	Market Purchase			05-Oct-2018	1,00,506	1,15,82,399	2.73
	Market Sell			19-Oct-2018	(506)	1,15,81,893	2.73
	Market Purchase			26-Oct-2018	1,16,483	1,16,98,376	2.75
	Market Purchase			09-Nov-2018	121	1,16,98,497	2.75
	Market Purchase			16-Nov-2018	128	1,16,98,625	2.75
	Market Purchase			30-Nov-2018	128	1,16,98,753	2.75
	Market Sell			14-Dec-2018	(18,210)	1,16,80,543	2.75
	Market Sell			21-Dec-2018	(86,000)	1,15,94,543	2.73

Sr No.		Shareholding at the beginning of the year - 2018 (as on 1st April 2018 i.e. on the basis of SHP of 31st March 2018)		Transactions during the year		Cumulative Shareholding at the end of the year - 2019 (as on 31st March 2019)	
	Name & Type of Transaction	No. of Shares Held	% of Total Shares of the Company	Date of Transaction	No. of Shares	No of Shares Held	% of Total Shares of the Company
	Market Sell			28-Dec-2018	(1,84,648)	1,14,09,895	2.68
	Market Sell			31-Dec-2018	(83,628)	1,13,26,267	2.66
	Market Purchase			08-Feb-2019	140	1,13,26,407	2.66
	Market Purchase			22-Feb-2019	140	1,13,26,547	2.66
	Market Purchase			01-Mar-2019	140	1,13,26,687	2.66
	Market Purchase			08-Mar-2019	280	1,13,26,967	2.66
	Market Purchase			15-Mar-2019	1,120	1,13,28,087	2.66
	Market Sell			22-Mar-2019	(700)	1,13,27,387	2.66
	Market Sell			29-Mar-2019	(53,012)	1,12,74,375	2.64
	AT THE END OF THE YEAR					1,12,74,375	2.64
6	HDFC TRUSTEE COMPANY LTD - A/C HDFC MID - CAPOPPORTUNITIES FUND	93,93,656	2.24			93,93,656	2.24
	Market Purchase			06-Apr-2018	5,22,000	99,15,656	2.36
	Market Purchase			13-Apr-2018	2,50,000	1,01,65,656	2.42
	Market Purchase			20-Apr-2018	28,000	1,01,93,656	2.43
	Market Purchase			27-Apr-2018	1,66,000	1,03,59,656	2.47
	Market Sell			04-May-2018	(1,55,000)	1,02,04,656	2.43
	Market Sell			11-May-2018	(9,000)	1,01,95,656	2.43
	Market Purchase			08-Jun-2018	51,152	1,02,46,808	2.44
	Market Sell			30-Jun-2018	(2,71,000)	99,75,808	2.37
	Market Purchase			06-Jul-2018	12,000	99,87,808	2.37
	Market Purchase			13-Jul-2018	48,000	1,00,35,808	2.38
	Market Purchase			20-Jul-2018	14,400	1,00,50,208	2.38
	Market Purchase			27-Jul-2018	1,77,600	1,02,27,808	2.42
	Market Purchase			03-Aug-2018	9,600	1,02,37,408	2.42
	Market Sell			10-Aug-2018	(40,800)	1,01,96,608	2.41
	Market Purchase			07-Sep-2018	49,200	1,02,45,808	2.41
	Market Purchase			21-Sep-2018	61,200	1,03,07,008	2.43
	Market Sell			29-Sep-2018	(1,24,800)	1,01,82,208	2.40
	Market Sell			12-Oct-2018	(1,94,400)	99,87,808	2.35
	Market Sell			26-Oct-2018	(12,000)	99,75,808	2.35
	Market Sell			23-Nov-2018	(51,152)	99,24,656	2.33
	Market Purchase			22-Feb-2019	4,800	99,29,456	2.33
	Market Sell			01-Mar-2019	(4,800)	99,24,656	2.33
	AT THE END OF THE YEAR					99,24,656	2.33

Sr No.		Shareholding at the beginning of the year - 2018 (as on 1st April 2018 i.e. on the basis of SHP of 31st March 2018)		Transactions during the year		Cumulative Shareholding at the end of the year - 2019 (as on 31st March 2019)	
	Name & Type of Transaction	No. of Shares Held	% of Total Shares of the Company	Date of Transaction	No. of Shares	No of Shares Held	% of Total Shares of the Company
7	ASIA CAPITAL FINANCIAL OPPORTUNITIES PTE LTD	1,01,09,475	2.41			1,01,09,475	2.41
	Market Sell			08-Mar-2019	(4,85,000)	96,24,475	2.26
	AT THE END OF THE YEAR					96,24,475	2.26
8	HOUSING DEVELOPMENT FINANCE CORPORATION LIMITED	88,04,680	2.10			88,04,680	2.10
	AT THE END OF THE YEAR					88,04,680	2.06
9	ADITYA BIRLA SUN LIFE TRUSTEE PRIVATE LIMITED A/C ADITYA BIRLA SUN LIFE EQUITY ADVANTAGE FUND	23,00,761	0.55			23,00,761	0.55
	Market Purchase			06-Apr-2018	3,88,000	26,88,761	0.64
	Market Purchase			13-Apr-2018	11,50,000	38,38,761	0.91
	Market Purchase			25-May-2018	2,00,000	40,38,761	0.96
	Market Purchase			01-Jun-2018	1,14,100	41,52,861	0.99
	Market Sell			08-Jun-2018	(21,322)	41,31,539	0.98
	Market Purchase			22-Jun-2018	80,000	42,11,539	1.00
	Market Purchase			30-Jun-2018	1,38,000	43,49,539	1.03
	Market Purchase			13-Jul-2018	3,18,700	46,68,239	1.11
	Market Purchase			20-Jul-2018	7,22,000	53,90,239	1.28
	Market Purchase			27-Jul-2018	23,68,300	77,58,539	1.84
	Market Purchase			03-Aug-2018	2,50,000	80,08,539	1.89
	Market Purchase			24-Aug-2018	3,00,000	83,08,539	1.96
	Market Sell			31-Aug-2018	(25,000)	82,83,539	1.96
	Market Purchase			14-Sep-2018	3,000	82,86,539	1.95
	Market Sell			05-Oct-2018	(1,02,300)	81,84,239	1.93
	Market Sell			12-Oct-2018	(1,13,000)	80,71,239	1.90
	Market Purchase			26-Oct-2018	4,50,000	85,21,239	2.00
	Market Purchase			02-Nov-2018	40,000	85,61,239	2.01
	Market Purchase			09-Nov-2018	1,00,000	86,61,239	2.04
	Market Purchase			30-Nov-2018	96,000	87,57,239	2.06
	Market Purchase			07-Dec-2018	2,49,000	90,06,239	2.12
	Market Sell			14-Dec-2018	(66,000)	89,40,239	2.10
	Market Sell			28-Dec-2018	(50,000)	88,90,239	2.09
	Market Purchase			11-Jan-2019	40,000	89,30,239	2.10
	Market Sell			08-Feb-2019	(6,900)	89,23,339	2.10
	Market Sell			15-Feb-2019	(2,91,900)	86,31,439	2.03

Sr No.		Shareholding at the beginning of the year - 2018 (as on 1st April 2018 i.e. on the basis of SHP of 31st March 2018)		Transactions during the year		Cumulative Shareholding at the end of the year - 2019 (as on 31st March 2019)	
	Name & Type of Transaction	No. of Shares Held	% of Total Shares of the Company	Date of Transaction	No. of Shares	No of Shares Held	% of Total Shares of the Company
	Market Sell			22-Feb-2019	(28,000)	86,03,439	2.02
	Market Sell			15-Mar-2019	(18,500)	85,84,939	2.01
	Market Sell			22-Mar-2019	(3,24,600)	82,60,339	1.94
	Market Sell			29-Mar-2019	(10,476)	82,49,863	1.93
	AT THE END OF THE YEAR					82,49,863	1.93
10	DSP MIDCAP FUND	25,45,689	0.5966			25,45,689	0.61
	Market Purchase			27-Apr-2018	7,774	25,53,463	0.61
	Market Purchase			04-May-2018	13,80,950	39,34,413	0.94
	Market Purchase			11-May-2018	6,01,238	45,35,651	1.08
	Market Purchase			18-May-2018	9,20,694	54,56,345	1.30
	Market Purchase			25-May-2018	2,37,679	56,94,024	1.36
	Market Sell			08-Jun-2018	(27,000)	56,67,024	1.35
	Market Purchase			15-Jun-2018	1,84,323	58,51,347	1.39
	Market Purchase			22-Jun-2018	15,589	58,66,936	1.39
	Market Purchase			30-Jun-2018	400	58,67,336	1.39
	Market Purchase			06-Jul-2018	3,84,807	62,52,143	1.49
	Market Purchase			20-Jul-2018	1,96,421	64,48,564	1.53
	Market Purchase			27-Jul-2018	8,28,688	72,77,252	1.72
	Market Purchase			03-Aug-2018	6,16,374	78,93,626	1.87
	Market Purchase			10-Aug-2018	3,64,317	82,57,943	1.95
	Market Purchase			17-Aug-2018	80,990	83,38,933	1.97
	Market Purchase			24-Aug-2018	1,52,070	84,91,003	2.01
	Market Sell			31-Aug-2018	(2,97,370)	81,93,633	1.93
	Market Sell			14-Sep-2018	(3,40,569)	78,53,064	1.85
	Market Sell			21-Sep-2018	(1,47,288)	77,05,776	1.82
	Market Sell			29-Sep-2018	(9,084)	76,96,692	1.81
	Market Sell			05-Oct-2018	(57,600)	76,39,092	1.80
	Market Purchase			12-Oct-2018	1,29,133	77,68,225	1.83
	Market Purchase			19-Oct-2018	1,96,755	79,64,980	1.88
	Market Purchase			26-Oct-2018	9,78,327	89,43,307	2.10

(v) Shareholding of Directors and Key Managerial Personnels (KMPs)							
Sr No.		**Shareholding at the beginning of the financial year i.e. April 1, 2018 (as on 1st April 2018 i.e. on the basis of SHP of 31st March 2018)**		**Transactions during the year**		**Cumulative Shareholding at the end of the financial year i.e. March 31, 2019**	
	Name & Type Of Transaction	**No. of Shares Held**	**% of Total Shares of the Company**	**Date of Transaction**	**No. of Shares**	**No. of Shares Held**	**% of Total Shares of the Company**
DIRECTORS							
1	VISHWAVIR AHUJA	10,052,720	2.40			10,052,720	2.40
	Market Sell			07/Jun/2018	(50,000)	10,002,720	2.38
	Market Sell			11/Jun/2018	(150,000)	9,852,720	2.34
	Buy (ESOP Exercise)			17/Jul/2018	100,000	9,952,720	2.35
	Market Sell			31/Jul/2018	(100,000)	9,852,720	2.33
	Buy (ESOP Exercise)			21/Aug/2018	100,000	9,952,720	2.35
	Market Sell			21/Aug/2018	(50,000)	9,902,720	2.34
	Buy (ESOP Exercise)			05/Sep/2018	50,000	9,952,720	2.35
	Buy (ESOP Exercise)			23/Oct/2018	50,000	10,002,720	2.35
	Market Sell			05/Mar/2019	(100,000)	9,902,720	2.32
	Market Sell			06/Mar/2019	(100,000)	9,802,720	2.30
	Market Sell			07/Mar/2019	(100,000)	9,702,720	2.28
	Buy (ESOP Exercise)			27/Mar/2019	300,180	10,002,900	2.34
	AT THE END OF THE YEAR					10,002,900	2.34
2	NARAYAN RAMACHANDRAN	3,138,380	0.76			3,138,380	0.76
	TILL MAY 19, 2018					3,138,380	0.76
2	PRAKASH CHANDRA	65	0.00			65	0.00
	AT THE END OF THE YEAR					65	0.00
3	VIMAL BHANDARI	50,000	0.01			50,000	0.01
	TILL SEPTEMBER 13, 2018					50,000	0.01
4	D. SHIVANANDAN	500	0.00			500	0.00
	AT THE END OF THE YEAR					500	0.00
5	JAIRAJ MANOHAR PURANDARE	50,830	0.01			50,830	0.01
	AT THE END OF THE YEAR					50,830	0.01
6	RAJEEV AHUJA	4,527,670	1.08			4,527,670	1.08
	Buy (ESOP Exercise)			28/May/2018	574,990	5,102,660	1.21
	Market Sell			14/Jun/2018	(105,750)	4,996,910	1.19
	Market Sell			15/Jun/2018	(155,000)	4,841,910	1.15
	Market Sell			18/Jun/2018	(16,389)	4,825,521	1.15
	Market Sell			16/Aug/2018	(2,134)	4,823,387	1.14
	Market Sell			17/Aug/2018	(50,000)	4,773,387	1.13
	Market Sell			20/Aug/2018	(97,500)	4,675,887	1.11
	AT THE END OF THE YEAR					4,675,887	1.10

Sr No.		Shareholding at the beginning of the financial year i.e. April 1, 2018 (as on 1st April 2018 i.e. on the basis of SHP of 31st March 2018)		Transactions during the year		Cumulative Shareholding at the end of the financial year i.e. March 31, 2019	
	Name & Type Of Transaction	No. of Shares Held	% of Total Shares of the Company	Date of Transaction	No. of Shares	No. of Shares Held	% of Total Shares of the Company
7	VIJAY MAHAJAN	50,000	0.01			50,000	0.01
	AT THE END OF THE YEAR					50,000	0.01
8	PALEPU SUDHIR RAO	500	0.00			500	0.00
	AT THE END OF THE YEAR					500	0.00
KMP							
9	DEEPAK RUIYA	98,120	0.02			98,120	0.02
	Market Sell			04/May/2018	(1,000)	97,120	0.02
	Market Sell			07/Jun/2018	(13,000)	84,120	0.02
	Market Sell			11/Jun/2018	(5,000)	79,120	0.02
	Buy (ESOP Exercise)			23/Oct/2018	5,500	84,620	0.02
	Market Sell			30/Oct/2018	(400)	84,220	0.02
	Market Sell			21/Nov/2018	(400)	83,820	0.02
	Market Sell			21/Dec/2018	(200)	83,620	0.02
	Market Sell			28/Dec/2018	(4,000)	79,620	0.02
	Market Sell			31/Dec/2018	(1,000)	78,620	0.02
	Market Sell			01/Mar/2019	(4,000)	74,620	0.02
	Market Sell			05/Mar/2019	(2,000)	72,620	0.02
	Market Sell			06/Mar/2019	(900)	71,720	0.02
	Market Sell			11/Mar/2019	(1,000)	70,720	0.02
	Buy (ESOP Exercise)			15/Mar/2019	7,780	78,500	0.02
	Market Sell			29/Mar/2019	(1,200)	77,300	0.02
	AT THE END OF THE YEAR					77,300	0.02
10	VINAY PRAKASH TRIPATHI	330	0.00			330	0.00
	Market Sell			29/Jun/2018	(300)	30	
	Buy (ESOP Exercise)			17/Jul/2018	8,370	8,400	0.00
	Market Sell			26/Feb/2019	(1,000)	7,400	0.00
	Buy (ESOP Exercise)			15/Mar/2019	180	7,580	0.00
	AT THE END OF THE YEAR					7,580	0.00

V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment

(Rs. in crores)

	Secured Loans excluding Deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
1. Principal Amount	1,784.54	7,476.90	43,902.26	53,163.70
2. Interest due but not paid	-	-	-	-
3. Interest accrued but not due	1.12	77.94	270.67	349.73
Total (1+2+3)	**1,785.66**	**7,554.84**	**44,172.93**	**53,513.43**
Change in Indebtedness during the financial year				
Addition	-	4,412.97	14,557.73	17,185.04
Reduction	1,785.66	-	-	-
Net Change	**(1,785.66)**	**4,412.97**	**14,557.73**	**17,185.04**
Indebtedness at the end of the financial year				
1. Principal Amount	-	11,832.07	58,394.42	70,226.49
2. Interest due but not paid	-	-	-	-
3. Interest accrued but not due	-	135.74	336.24	471.98
Total (1+2+3)	**-**	**11,967.81**	**58,730.66**	**70,698.47**

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

(Amt in Rs.)

Sl. No.	Particulars of Remuneration	Name of MD/WTD/ Manager	Name of MD/WTD/ Manager	Total Amount
		Mr. Vishwavir Ahuja (MD & CEO)	**Mr. Rajeev Ahuja (Executive Director)**	
1	Gross salary			
	a. Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	2,28,39,066	2,17,05,098	**4,45,44,164**
	b. Value of perquisites u/s 17(2) of the Income-tax Act, 1961	3,72,975	1,52,402	**5,25,377**
	c. Profits in lieu of salary under section 17(3) of the Income-tax Act, 1961	-	-	-
2	Stock Option	12,00,000	9,00,000	-
3	Sweat Equity	-	-	-
4	Commission - as % of profit	-	-	-
	- Others, specif.	-	-	-
5	Others, please specify	-	-	-
	Total-(A)	**2,32,12,041**	**2,18,57,500**	**4,50,69,541**

Notes:-

1. *Remuneration as above includes salary, taxable allowances, LTA, value of perquisites incurred by the Bank as per the Income Tax Rules, 1962, Company's Contribution to Provident Fund, Superannuation Fund and Annual Performance Linked Variable Compensation.*
2. *Section 197 of the Companies Act, 2013 is not applicable to Banking Companies by virtue of section 35B (2A) of the Banking Regulation Act, 1949.*

B. Remuneration to other directors:

(Amt in Rs.)

Sl. No.	Particulars of Remuneration	Name of Directors							Total Amount
1	**Independent Directors**	**Mr. D Sivanandhan**	**Mr. Ishan Raina**	**Mr. Jairaj Purandare**	**Mr. P. Sudhir Rao**	**Ms. Rama Bijapurkar***	**Mr. Vimal Bhandari#**	**Mr. Vijay Mahajan**	
	a. Fee for attending board / committee meetings	9,00,000	6,87,500	10,30,000	7,62,500	3,00,000	4,80,000	1,00,000	42,60,000
	b. Commission	3,00,000	5,00,000	5,00,000	5,00,000	3,00,000	5,00,000	-	26,00,000
	c. Others, please specify	-	-	-	-	-	-	-	-
	Total (1)	**12,00,000**	**11,87,500**	**15,30,000**	**12,62,500**	**6,00,000**	**9,80,000**	**1,00,000**	**68,60,000**
2	**Other Non-Executive Directors**	**Mr. Narayan Ramachandran (Chairman till May 19, 2018)**	**Mr. Prakash Chandra (Chairman w.e.f. May 20, 2019)**						

Sl. No.	Particulars of Remuneration	Name of Directors							Total Amount
	a. Fee for attending board / committee meetings	1,75,000	10,30,000	-	-	-	-	-	12,05,000
	b. Commission	-	5,00,000	-	-	-	-	-	5,00,000
	c. Others, please specify	2,01,613	12,98,387[$]	-	-	-	-	-	15,00,000
	Total-(2)	**3,76,613**	**28,28,387**	**-**	**-**	**-**	**-**	**-**	**32,05,000**
	Total-B (1+2)								**1,00,65,000**
	Total Managerial Remuneration								
	Overall Ceiling as per the Act								Refer Note below

** Ms. Rama Bijapurkar resigned as Director of the Bank w.e.f. January 10, 2019.*

[#] Mr. Vimal Bhandari Ceased to be Director w.e.f. September 13, 2018 upon completion of tenure.

[$]Remuneration of Rs. 12,98,387/- *for the FY 2018-19 to Mr. Prakash Chandra will be paid in the FY 2019-20.*

Note*: In terms of the provisions of the Companies Act, 2013, the remuneration (other than sitting fees) payable to directors other than executive directors shall not exceed 1% of the net profit of the Bank. The Remuneration paid to the directors is well within the limits.*

C. ***Remuneration to Key Managerial Personnel other than MD/ Manager/ WTD***

(Amt in Rs.)

Sl. No.	**Particulars of Remuneration**	**Key Managerial Personnel**		**Total Amount**
		Mr. Deepak Ruiya (CFO *(interim)*)	**Mr. Vinay Tripathi (Company Secretary)**	
1	Gross salary			
	a. Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	48,11,395	29,15,077	**77,26,472**
	b. Value of perquisites u/s 17(2) of the Income-tax Act, 1961	3,63,819	77,872	**4,41,691**
	c. Profits in lieu of salary under section 17(3) of the Income-tax Act, 1961	-	-	-
2	Stock Option	15,000	9,000	-
3	Sweat Equity	-	-	-
4	Commission - as % of profit	-	-	-
	- Others, specify…	- -	-	-
5	Others, please specify	- -	-	-
	Total	**51,75,214**	**29,92,949**	**81,68,163**

Note*: Remuneration as above includes salary, taxable allowances, LTA, value of perquisites incurred by the Bank as per the Income Tax Rules, 1962, Company's Contribution to Provident Fund, Superannuation Fund and Annual Performance Linked Variable Compensation.*

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT / COURT]	Appeal made, if any (give Details)
A. COMPANY – None					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-
B. DIRECTORS – None					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-
C. OTHER OFFICERS IN DEFAULT – None					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-